

**Electronic Filing System (EFS) Data**  
**Electronic Patent Application Submission**  
**USPTO Use Only**

EFS ID: 10748  
Application ID: 09681054  
Title of Invention: Secure Mass Storage Device with  
Embedded Biometric Record  
that Blocks Access by Disabling  
Plug-and-Play Configuration  
First Named Inventor: Sreenath Mambakkam  
Domestic/Foreign Application: Domestic Application  
Filing Date: null  
Effective Receipt Date: 2000-12-12  
Submission Type: Utility Patent Filing  
Filing Type: new-utility  
Confirmation Number: 0  
Attorney Docket Number: OS-12  
Digital Certificate Holder: cn=Stuart Thomas Auvinen, ou=Registered Attorneys, ou=Patent  
and Trademark Office, ou=Department of Commerce, o=U.S.  
Government, c=US  
Certificate Message Digest: EtPUEotyZiXf5CcqqC9Sgg==  
Total Fees Authorized: \$355.0  
Payment Category: DA - Deposit Account  
Deposit Account Number: 12950  
Deposit Account Name: Stuart T. Auvinen



# TRANSMITTAL FORM



Electronic Version 1.0.2

Stylesheet Version: 1.0

Attorney Docket Number: OS-12

## Secure Mass Storage Device with Embedded Biometric Record that Blocks Access by Disabling Plug-and-Play Configuration

First Named Inventor: Sreenath Mambakkam

### SUBMITTED BY

Name: Mr. Stuart T. Auvinen  
Registration Number: 36,435  
Electronic Signature  
Mark: /e/stuauvinen  
Date Signed: 20001212

*I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.*

*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

### Attached Files:

bibd-transmittal	OS12efapds.xml
specification	OS12e.xml
declaration	Oath.tif
fee-transmittal	OS12effee.xml

Oath.tif

[illegible]

**Comments:**

Table 1. Demographic characteristics of the study population	
Age (years)	50.0 ± 10.0
Gender	
Male	50.0%
Female	50.0%
Education (years)	12.0 ± 2.0
Marital status	
Married	80.0%
Single	20.0%
Occupation	
Professional	30.0%
Managerial	20.0%
Technical	10.0%
Skilled	20.0%
Unskilled	20.0%
Income (USD/month)	1,000.0 ± 500.0
Health status	
Good	70.0%
Fair	20.0%
Poor	10.0%

## DECLARATION FOR PATENT APPLICATION

OS-12

As a below-named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe that I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention, the specification of which is attached hereto and which has the following title:

Secure Mass Storage Device with Embedded Biometric Record that Blocks Access by Disabling Plug-and-Play Configuration

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to in the oath of declaration. I acknowledge a duty to disclose information which is material to examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby appoint the following Agent to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Stuart T. Auvinen, Reg. No. 36,435

Address all telephone calls to Stuart T. Auvinen at telephone No. (831) 476-5506.

Address all correspondence to:

Stuart T. Auvinen  
429 Twenty-Sixth Ave.  
Santa Cruz, CA 95062

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, Section 1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Joint/First Inventor's Signature Sreenath Mambakkam date: 12/11/2000

Full name of first inventor: Sreenath Mambakkam  
Residence: San Jose, CA Citizen of: India  
Mailing Address: 2841 Ramwood Court, San Jose, CA 95148

Joint/Second Inventor's Signature Larry Lawson Jones date: 12/11/2000

Full name of second inventor: Larry Lawson Jones  
Residence: Palo Alto, CA Citizen of: USA  
Mailing Address: 1407 Hamilton Ave., Palo Alto, CA 94301

Joint/Third Inventor's Signature Arockiyaswamy Venkides date: 12/11/2000

Full name of third inventor: Arockiyaswamy Venkides  
Residence: Menlo Park, CA Citizen of: India  
Mailing Address: 112 Princeton Road, Menlo Park, CA 94025

# FEE TRANSMITTAL

Electronic Version 1.0.4

Stylesheet Version: 1.0

*Patent fees are subject to annual revisions on or about October 1st of each year.*

Small Entity

Small Business Concern

**TOTAL FEES AUTHORIZED: \$ 355**

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 01-2950



Deposit Account Name: 01-2950

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

## SUBMITTED BY

Authorized Name: Stuart T. Auvinen

Electronic Signature Mark: /e/stuauvinen

Date Signed: 20001212

## BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	201	\$ 355

Subtotal For Basic Filing Fee: \$ 355

## EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 20	203	\$ 9	0	\$ 0
Independent Claims: 3	202	\$ 40	0	\$ 0

[illegible]